ttorney's Docket No. 9250-37

In re: Yap et al.

Serial No.: 09/868,553 Filed: June 18, 2001

For: HEPATITIS Y VIRUS

Date: March 22, 2002

**BOX SEQUENCE** Commissioner for Patents Washington, DC 20231

## STATEMENT IN SUPPORT OF FILING A SEQUENCE LISTING UNDER 37 CFR § 1.821(f)

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sir:

I hereby state that the content of the computer readable copy of the Sequence listing, submitted concurrently herewith in accordance with 37 CFR § 1.821(c) and (e), is the same as the paper copy that was submitted on November 7, 2001. I also hereby state as required by 37 CFR § 1.821(h) that the computer readable copy contains no new matter, nor does it go beyond the disclosure of the application as filed.

This computer readable copy of the Sequence Listing is being submitted in response to the Notificaton of Defective Response of March 5, 2002, a copy of which is enclosed.

Respectfully submitted,

Karen A. Magri

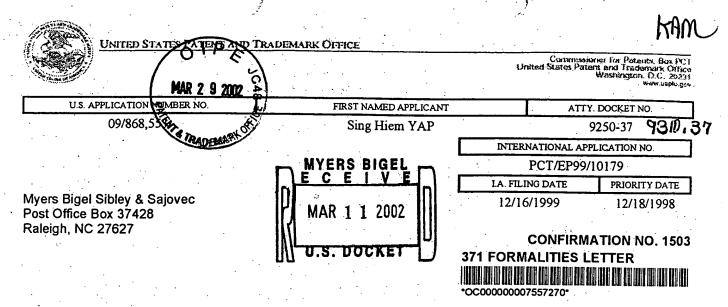
Registration No. 41,965

**Customer Number:** 

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Sequence, Commissioner for Patents, Washington, DC 20231, on March 22, 2002.

Sloan Hobbs



Date Mailed: 03/05/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- · Preliminary Amendments

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

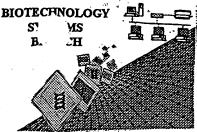
Telephone: (703) 305-3662

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/868,553	PCT/EP99/10179	9250-37

FORM PCT/DO/EO/916 (371 Formalities Notice)

PUT/0901PE



**CRF Problem Report** 

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer (CRF):

Application Serial Number: 09/958.856Filing Date: 10/12/2001Date Processed by STIC: 2/6/2002

STIC Contact: Mark Spencer, 703-308-4212

## **Nature of Problem:**

The C	CRF (was):
	(circle one) Damaged or Unreadable (for Unreadable, see attached)
	Blank (no files on CRF) (see attached)
	Empty file (filename present, but no bytes in file) (see attached)
<u> </u>	Virus-infected. Virus name: The STIC will not process the CRF.
	Not saved in ASCII text
	Sequence Listing was embedded in the file. According to Sequence Rules,
	submitted file should only be the Sequence Listing.
	Did not contain a Sequence Listing. (see attached sample)
	Other:

PLEASE USE THE CHECKER VERSION 3.1 PROGRAM TO REDUCE ERRORS. SEE BELOW FOR ADDRESS:

http:/www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">httm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

4. Federal Express, United Parcel-Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

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